



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/034,995         | 01/03/2002          | Scott A. Musman       | 82088-0001             |

CONFIRMATION NO. 1115

## FORMALITIES LETTER



\*OC000000007473201\*

24633  
HOGAN & HARTSON LLP  
IP GROUP, COLUMBIA SQUARE  
555 THIRTEENTH STREET, N.W.  
WASHINGTON, DC 20004

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$186.
  - \$144 for 16 total claims over 20.
  - \$42 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 621.**

*A copy of this notice **MUST** be returned with the reply.*

*TCS fuge Ambey*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

05/15/2002 RMEBRAHT 00000077 10034995 PART 2 - COPY TO BE RETURNED WITH RESPONSE

|           |           |
|-----------|-----------|
| 02 FC:201 | 370.00 OP |
| 03 FC:205 | 65.00 OP  |
| 04 FC:203 | 144.00 OP |
| 05 FC:202 | 42.00 OP  |
|           | 10.00 OP  |

06 FC:998



min

#4  
MP  
ST

PATENT  
Attorney Docket No.: 82088-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Scott MUSMAN )  
Application No.: 10/034,995 ) Group Art Unit: 2161  
Filing Date: January 3, 2002 ) Examiner: Unknown  
For: SYSTEM AND METHOD FOR USING AGENT- )  
BASED DISTRIBUTED CASE-BASED REASONING )  
TO MANAGE A COMPUTER NETWORK )

Commissioner for Patents  
**BOX: MISSING PARTS**  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

This is in response to the Notice to Filing Missing Parts, dated February 13, 2002 in the above-identified application. Also included with this Response is a Petition for a One-Month Extension of Time for the prescribed petition fee of \$55.00 as designated under 37 CFR §1.17(a)(3).

1. Declaration for Patent Application

☒ Enclosed.  
This application was filed without an executed Declaration.

2. Assignment

☐ An assignment of the invention to \_\_\_\_\_ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.  
☐ An assignment will be filed at a later date.

3. Fee Calculation (37 C.F.R. § 1.16)

| CLAIMS FOR FEE CALCULATION                               |              |              |                |   |
|--|--------------|--------------|----------------|---|
|  | Number Filed | Number Extra | at Rate of     | Basic Fee<br>Utility \$740.00<br>\$370.00 |
| Total Claims<br>(37 C.F.R. §1.16(c))                     | 36 - 20 =    | 16           | \$ 18.00 each= | +\$ 288.00                                |
| Independent Claims<br>(37 C.F.R. §1.16(b))               | 4 - 3 =      | 1            | \$ 84.00 each= | + \$ 84.00                                |
| Multiple dependent claim(s), if any (37 C.F.R. §1.16(d)) |              |              | \$ 280.00      | +   |
| SUB-TOTAL =  |              |              |                | \$ 1,112.00                               |
| Surcharge under 37 C.F.R. § 1.16(e)                      |              |              | \$ 130.00      | \$ 130.00                                 |
| Reduction by 1/2 for filing by a small entity            |              |              |                | -\$ 621.00                                |
| TOTAL FILING FEE =                                       |              |              |                | \$ 621.00                                 |

4. Fee Payment

- ☒ Enclosed is a check for **\$686.00** for the fees due as specified above (including the \$370.00 filing fee, \$144.00 additional claims; \$42.00 one independent claim over three; \$55.00 for a petition for a one-month extension of time fee, and the \$65.00 surcharge as set forth in 37 C.F.R. 1.16(e)).
- ☐ If an Assignment is being filed as noted in paragraph 2 above, a separate check in the amount of \$40.00 is enclosed.
- ☐ Authorization is given to charge to Deposit Account 50-1349 for the fees due as specified.

5. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3)

6. Other
- ☐ Information Disclosure Statement
  - ☐ PTO-1449, \_\_ documents as listed
  - ☐ Preliminary Amendment
  - ☐ Claim for Priority
  - ☐ Certified copy of Priority Document
  - ☐ Sequence Listing
  - ☒ Response Copy

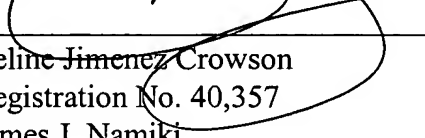
If there are any questions regarding the above-captioned patent application, please contact applicant's representative at the number given below.

Respectfully submitted,

**HOGAN & HARTSON LLP**

Dated: May 13, 2002

**HOGAN & HARTSON LLP**  
555 13<sup>th</sup> Street, N.W.  
Washington, D.C. 20004  
(202) 637-5703  
Customer No. 24633

By:   
Celine Jimenez Crowson  
Registration No. 40,357  
James J. Namiki  
Registration No. 51,148